

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Boyd N. Shelton, et al.

Serial No.: 09/943,102

Filing Date: August 30, 2001

For: SYSTEM AND METHOD FOR A HIGH BANDWIDTH SERVO SYSTEM



Group Art Unit: 2651

Examiner: Not Yet Assigned

RECEIVED  
DEC 05 2001  
Technology Center 2600

DATE OF DEPOSIT: 11/21/01

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID  
ON THE DATE INDICATED ABOVE AND IS ADDRESSED  
TO THE ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, DC 20231.

*Raymond N. Scott, Jr.*  
TYPED NAME: Raymond N. Scott, Jr.  
REGISTRATION NO.: 48,666

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

## INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

- In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with  the first or  second After Final Submission, therefore:
  - Certification in Accordance with §1.97(e) is attached; or
  - The fee of \$180.00 as set forth in §1.17(p) is attached.
- In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:
  - Certification in Accordance with §1.97(e) is attached; or
  - The fee of \$180.00 as set forth in §1.17(p) is attached.
- In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of \$180.00 as set forth in §1.17(p).
- Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
  - In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

- In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .
- If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date: 11/21/01

  
\_\_\_\_\_  
Raymond N. Scott, Jr.  
Registration No. 48,666

WOODCOCK WASHBURN LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

<b>Form PTO-1449 Modified</b>		Docket No. <b>IOME-0751/P0763</b>	Serial No. <b>09/943,102</b>
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant <b>Boyd N. Shelton, et al.</b>	
U.S. Department of Commerce Patent and Trademark Office		Filing Date <b>August 30, 2001</b>	Group <b>2651</b>

RECEIVED  
DEC 05 2001  
Technology Center 2600

**U. S. PATENT DOCUMENTS**

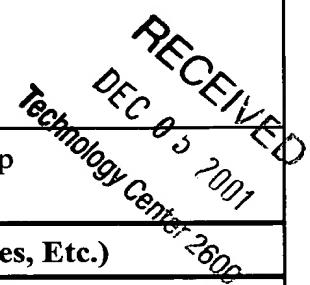
Examiner Initial		Document No.	Date	Name	Class	Subclass
	AD	4,985,616	01/15/91	Nakamura, et al.	235	494
	AE	5,295,131	03/15/94	Ishibashi, et al.	369	275.3
	AF	5,442,499	08/15/95	Emori	360	77.08
	AG	5,542,285	09/19/95	Monen	369	275.3
	AH	6,021,012	02/01/00	Bang	360	51

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation
					YES      NO

**EXAMINER****DATE CONSIDERED**

<b>Form PTO-1449 Modified</b>		
		
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		
U.S. Department of Commerce Patent and Trademark Office		
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>		
	AA	"Recorded optical media unit for digital information interchange-130 mm write-once sampled-servo RZ selectable-pitch optical disk cartridge", <i>American National Standards Institute, X3.191-1991</i>
	AB	"90-mm(3.54-in) Optical disk cartridge reriteable and read only using discrete block format (DBF) method for digital information interchange", <i>American National Standards Institute, X3.213-1994</i>
	AC	"130-mm Write-once optical disk cartridge using sampled servo and 4/15 encoding", <i>American National Standards Institute, X3. 214-1992</i>
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>

  
 DEC 03 2001